

# Upper atmospheres of the giant planets

Interfaces between atmospheres and magnetospheres

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### Part I

Giant planet upper atmospheric physics, observations, and theory

# Outline, Part I

- Upper atmosphere "basics"
  - Thermosphere, ionosphere, exosphere, homopause...
- Generation of an ionosphere
  - Photon absorption, particle precipitation
  - Ion production and loss
- Remote ionospheric diagnostics
  - Giant planet observations
- Model-data comparisons
  - Outstanding issues

Astrophysicists beware: "H-two" =  $H_2 \neq HII$ "H-plus" =  $H^+ = HII$ 

### **Atmospheres everywhere**

Dense	Atmosp	heres
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#### N<sub>2</sub> atmospheres

- Earth
- Titan
- Triton
- Pluto

#### CO<sub>2</sub> atmospheres

- Venus
- Mars
- Pluto

#### H<sub>2</sub>/H/He atmospheres

- Jupiter (P10/P11/V1/V2/Ulysses/Cassini/New Horizons, Galileo)
- Saturn (P11/V1/V2, Cassini)
- Uranus (V<sub>2</sub>)
- Neptune (V2)



## **Atmospheric regimes**



#### Upper atmosphere (aeronomy)

- Key transition region between lower atmosphere and magnetosphere
  - Energy and momentum sources:
    - EUV/FUV solar radiation
    - Energetic particles
    - Forcing from below (e.g., gravity waves)

Lower atmosphere (meteorology)

# **Thermal profiles**



### Thermosphere:

- Positive temperature gradient
- Collective (fluid) behavior

#### Exosphere:

- Constant temperature
   ("exospheric temperature")
- Infrequent collisions ->
  kinetic particle behavior and escape

I. Müller-Wodarg

## **Thermosphere of Saturn**



Moses and Bass (2000)

### Ionosphere

- Ionized part of upper atmosphere
  - Typically coincident with thermosphere, but
  - Present at any object with an atmosphere \*
- Ion densities << neutral densities</p>
- Key layer for coupling between the upper atmosphere and the magnetosphere
  - Closure of the magnetospheric current system
- Conducting layer
  - Key source of heating of the high latitude upper atmosphere

### Some outer planet properties

- Dominated by hydrogen:
- Distant: ~5.2, 9.5, 19, 30 and AU
  - (reduced solar insolation)

Fast rotators:
 ~9.925, 10.656,
 17.24, and 16.11
 hours/day

 Widely varying dipole alignments:

	Jupiter	Saturn	Uranus	Neptune
H2	89.8%	96.3%	82.5%	80.0%
He	10.2%	3.25%	15.2%	18.5%
CH4	1000 ppm	4500 ppm	2.3%	1.5%



### Generation of an ionosphere: ionization sources

- Ionization thresholds:
  - H2: 15.43 eV (80 nm)
  - H: 13.60 eV (91 nm)
  - CH4: 12.55 eV (99 nm)



- Solar EUV and X-ray (<10 nm) radiation:</p>
  - Solar photon flux / (Sun-planet distance)<sup>2</sup>
- Energetic particles from the space environment:
  - A few keV to a few 100s keV

### Question #1

- True or False?
  - The higher the energy of a photon, the lower in altitude it will be absorbed.
  - The higher the energy of an electron, the lower in altitude it will be absorbed.

## **Energy deposition**



\* Suprathermal electrons can be photoelectrons, auroral electrons, and/or secondary electrons

# Absorption of photons in an atmosphere





### Photoionization rates (cm<sup>-3</sup> s<sup>-1</sup>): $hv + M \rightarrow M^+ + e^-$



### Deposition of auroral electrons: $e^{-} + M \rightarrow e^{-} + M^{+} + e^{-}$ Auroral electrons – Energy flux Q<sub>prec</sub> = 1 mW m<sup>-2</sup>



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# Solar vs. particle ionization





We've talked a lot about solar photons as sources of ionization. Why not stellar photons?

## **Two populations of electrons**



\* Suprathermal electrons can be photoelectrons, auroral electrons, and/or secondary electrons

### Ion and electron densities

### Thermal ion continuity equation



### Photochemical equilibrium

 When chemical processes dominate over transport (typically in lower ionosphere; e.g., terrestrial E region)

$$P_i = L_i$$

### Chemical loss processes (cm<sup>-3</sup> s<sup>-1</sup>)

### Radiative recombination (RR; atomic ions)

 $X^+ + e^- \to X + h\nu$ 

 $L_{X^+}^{RR} = \alpha_{X^+}^{RR} n_{X^+} n_e$ 

Charge exchange
  $X^+ + Y \rightarrow X + Y^+$  FAST (typically)
  $L_{X^+,Y}^{CE} = \alpha_{X^+,Y}^{CE} n_{X^+} n_Y$ 

Dissociative Recombination (DR; molecular ions)

 $XY^{+} + e^{-} \rightarrow X + Y$ FAST  $L_{XY^{+}}^{DR} = \alpha_{XY^{+}}^{DR} n_{XY^{+}} n_{e}^{e} \approx \alpha_{XY^{+}}^{DR} n_{e}^{2} \rightarrow \text{If XY^{+} is dominant ion}$ 

**SLOW** 

### Protonated molecular hydrogen

What is it?

### Photochemistry in H<sub>2</sub> atmospheres



- H2<sup>+</sup> accounts for ~90% of initial ion production
  - $H_2^+ + H_2 \rightarrow H_3^+ + H$  R1
  - $k_1 = 2.0 \times 10^{-9} \text{ cm}^3 \text{ s}^{-1}$ H2<sup>+</sup> rapidly converted to H3<sup>+</sup>
  - H<sub>3</sub><sup>+</sup> + e<sup>-</sup>  $\rightarrow$  neutrals R<sub>2</sub> k<sub>2</sub>  $\approx$  8.6x10<sup>-7</sup> T<sup>-0.5</sup> cm<sup>3</sup> s<sup>-1</sup>
- H<sup>+</sup> becomes dominant due to slow RR loss and short day (rapid rotation)
  - $H^+ + e^- \rightarrow H + hv$  R<sub>3</sub>

 $\alpha_3 \approx 2 \times 10^{-10} \, \mathrm{T}^{-0.7} \, \mathrm{cm}^3 \, \mathrm{s}^{-1}$ 

- Initial theory therefore predicts:
  - Predominantly H<sup>+</sup> ionosphere with little diurnal variation

## Hydrocarbon photochemistry



### Hydrocarbon/metallic ion ledge



### **Remote observation techniques**



### **Remote observation techniques**

### Saturn Electrostatic Discharges (SEDs)

- Broadband, short-lived, impulsive radio emission, ~10 hr periodicity
  - Initially thought to originate in Saturn's rings, later shown to be associated with powerful lightning storms in Saturn's lower atmosphere
  - Detected by Voyager and Cassini (~6 SED storms to-date, lasting weeksmonths)
- Observed low-frequency cutoff can be used to derive N<sub>MAX</sub>(t)
- Powerful lightning also observed at Jupiter, but no "JEDs"
  - Perhaps due to attenuation of radio waves by Jupiter's ionosphere

### H3<sup>+</sup> observations

- Predicted to be a major ion in outer planet ionospheres
- Plethora of H<sub>3</sub><sup>+</sup> emission lines available in IR, particularly through K-band (2-2.5 μm) and L-band (3-4 μm) atmospheric windows
- To be continued in Part II...



\* analyzed; \*\* taken to-date









### **Cassini radio occultations**





### **Dawn/Dusk asymmetry**





### Latitudinal trend in N<sub>e</sub>





 Photoionization rates at Saturn peak near the equator and fall off with latitude. The observed electron density trend is exactly the opposite. What else might be happening?

# Upper atmospheric photochemistry of the giant planets revisited

- Modeled N<sub>MAX</sub> larger than observed
  - Solution: convert long lived H<sup>+</sup> into short lived molecular ions:
    - Unconstrained charge exchange reaction

 $H^+ + H_2(v \ge 4) \rightarrow H_2^+ + H_2$ R4 $k_4 \approx 1x10^{-9} \text{ cm}^3 \text{ s}^{-1}$  (Huestis, 2008)• Water (or other external) influx $H^+ + H_2O \rightarrow H_2O^+ + H$  $k_5 = 8.2x10^{-9} \text{ cm}^3 \text{ s}^{-1}$  $k_5 = 8.2x10^{-9} \text{ cm}^3 \text{ s}^{-1}$  $H_2O^+ + H_2 \rightarrow H_3O^+ + H$  $k_6 = 7.6x10^{-10} \text{ cm}^3 \text{ s}^{-1}$  $H_3O^+ + e^- \rightarrow \text{neutrals}$  $R_7$ 

 $\alpha$ 4 = 1.74×10<sup>-5</sup> T<sup>-0.5</sup> cm<sup>3</sup> s<sup>-1</sup>

- Modeled h<sub>MAX</sub> lower than observed
  - Above reactions act to slightly raise h<sub>MAX</sub>; in addition,
  - Forced vertical plasma drift?

### **Ionospheric models**


## **Ionospheric models**



## **Ionospheric models**





 Exploration of effects of varying upper atmospheric temperatures, water and methane influxes, ionospheric outflows, and electron precipitations



- No match to upper ionosphere
- Produces low altitude layers using meteoroid influx and vertical wind shears

### **Coupling from below: Gravity waves**



# N<sub>MAX</sub>(t) from SEDs



LT of storm from images, angle of incidence  $\alpha$  calculated from storm and Cassini position

$$f_{cutoff} = \frac{f_{pe,\max}}{\cos(\alpha)}$$
$$N_e = f_{pe,\max}^2 / 8$$

#### Fischer et al (2011)

## N<sub>MAX</sub>(t) from SEDs



## Summary, Part I

#### Ionization sources:

- EUV and X-ray solar photons, and
- magnetospheric, energetic particles (dominant in auroral regions)
- Giant planet ionospheres:
  - Dominant ionization species (H2<sup>+</sup>) minor constituent after chemistry
  - Major ions:
    - H<sup>+</sup>: long-lived, minimal diurnal variation, subject to transport
    - H<sub>3</sub><sup>+</sup>: short-lived, strong diurnal variation, predominantly in photochemical equilibrium
    - Hydrocarbon and metallic ions: extremely short-lived, bottomside "shoulder" of ionization
  - Unconstrained chemistry:
    - Populations of vibrational levels for H<sub>2</sub> (in particular  $v \ge 4$ )
    - Water (or other oxygen/metallic) influxes: variation with latitude, time, etc.

#### Remaining unknowns:

- Low altitude electron density layers: gravity waves or other vertical wind shear?
- Origins of observed ionospheric structure and variability
- Local time variations in ion and electron densities
- SED explanation; lack of "JEDs"

### Part II

Ionosphere-thermosphere-magnetosphere coupling at the giant planets

## Outline, Part II

- Auroral emissions
  - Categories of aurora
  - UV vs. IR (i.e., H<sub>3</sub><sup>+</sup>) aurora
- Ionosphere-thermosphere-magnetosphere and solar wind coupling
  - Saturn ring rain
  - The giant planet "energy crisis"
    - Upper atmospheric temperatures; heating sources
  - Ionospheric electrical conductivities
- Future prospects
  - Juno, JUICE, JWST, EChO, ...

## **Auroral emissions**

• <u>Aurora</u>: photo-manifestation of the interaction between energetic extra-atmospheric electrons, ions, and neutrals with an atmosphere



#### Auroral emissions in the solar system



HST/STIS [NASA/ESA/Jonathan Nichols (University of Leicester)]

Cassini/UVIS (UV) [UVIS team]

HST/STIS NASA/ESA/John Clarke (Boston University)

#### UV spectroscopic analysis $\rightarrow$ Particle characteristics IR spectroscopic analysis $\rightarrow$ H<sub>3</sub><sup>+</sup> density & temperature

ASA/JPL/University of Leicester/University of Arizona

Cassini/VIMS (IR) NASA/JPL/Tom Stallard (Univ. Leicester)/

## Auroral UV spectroscopic analysis

- Identification of energetic particle type
- Assessment of E<sub>m</sub> and Q<sub>prec</sub> of energetic particles
  - E<sub>m</sub> = mean energy of precipitating particles (e.g., Maxwellian)
  - **Q**<sub>prec</sub> = energy flux of precipitating particles

Color Ratio	Earth	Jupiter, Saturn	
Two spectral bands chosen in:	N2 LBH	H2 Lyman and Werner	
One band strongly absorbed by:	O2 (< 160 nm)	CH4 (< 140 nm)	
Electron energy range covered:	0.2 – 20 keV	~10 – 200 keV	
Type of aurora identified:	Electron aurora (discrete only)	Electron aurora (diffuse + discrete)	

- Similar techniques can be applied at various other planets with different limitations on the product (e.g., Fox et al, 2008).
- $\rightarrow$  Above tasks require comprehensive modeling support

## Giant planet auroral emissions: 4 main categories

- (1) Emission from precipitating particles: radio and x-ray
  - Radio emission generated by precipitating electrons as they are accelerated into atmosphere along magnetic field lines
    - Originate in low density region above planet, near field-aligned potentials
    - Cause of auroral radio emission observed at all the giant planets (Zarka, 1998; Lamy et al., 2009)
  - X-ray emission bremsstrahlung emission from high-energy precipitating particles scattered by the atmosphere (e.g., Jupiter)
    - Some electron driven bremsstrahlung present (e.g., Branduardi-Raymont et al., 2007), but primarily due to highly charged heavy ions



## Giant planet auroral emissions: 4 main categories

#### (2) Atmospheric excitation

- Prompt emission resulting from atmospheric atoms and molecules excited by precipitating particles
- The "classic" aurora (e.g., Earth)
  - Similar on different planets, owing to composition differences
- Brightest giant planet emissions:
  - UV Lyman-α (121.6 nm); visible light Balmer series (e.g., 410.2 nm); UV H2 Lyman and Werner bands (dominating over ~90-170 nm)

 $\rightarrow$  Provides instantaneous view of the particle precipitation process



Clarke et al (2009)

## Giant planet auroral emissions: 4 main categories

#### (3) Thermal auroral emission

- Produced from heating generated by atmosphere-magnetosphere interaction
- Molecular hydrogen, hydrocarbons, and hydrogen ions emit IR when thermalized to neutral atmosphere
  - Major heat sink in the upper atmosphere
  - H3<sup>+</sup> most easily observed
  - Hydrocarbons provide majority of cooling

#### (4) Ionization aurora

- Ionization dominated by particle precipitation in auroral regions
- Due to long thermal timescales and short ionization timescales, auroral structure is dominated by ionization, while overall brightness is dominated by temperature
- Closely follows prompt UV auroral morphology; time and spatial lag due to H<sub>3</sub><sup>+</sup> recombination rates and temperature variations

## Protonated molecular hydrogen: H<sub>3</sub><sup>+</sup>

- First astronomical spectroscopic detection in the universe at Jupiter
  - Auroral IR measurements with CFHT (Drossart et al., 1989)
- Bright emission lines in K-band (2-2.5  $\mu$ m) and L-band (3-4  $\mu$ m) atmospheric windows
  - Strong methane absorption in the L-band
    - Therefore, at the giant planets (where H<sub>3</sub> <sup>+</sup> is above the homopause), H<sub>3</sub><sup>+</sup> appears as bright emission above a dark background
- Highly temperature dependent, T<sup>4</sup>
- Can be used to derive ion temperatures, densities velocities
- Important as a coolant, e.g.:

  - Éfficient thermostat at Jupiter Hot exoplanets with dissociated H2 lose a key cooling mechanism



Connerney and Satoh (2000)

## IR auroral spectroscopic analysis



## **Global H3<sup>+</sup> properties**



## **Saturn Ring Rain**





- local extrema mirrored at magnetically conjugate latitudes, and also map to structures in the rings
- First non-auroral detection of H<sub>3</sub><sup>+</sup> at Saturn
- Keck observations: 2011



O'Donoghue et al (2013)

## Impact of water influx 🥌



## **The Giant Planet Energy Crisis**



[After Yelle and Miller, 2004; Melin et al., 2011, 2013]

## The Giant Planet Energy Crisis

#### Heating sources: forcing from above and below

- Solar heating:
  - excitation/dissociation/ionization and exothermic chemical reactions
- Particle heating:
  - via collisions and chemistry
- "Ionospheric joule heating"
  - via auroral electrical currents and ion-drag heating at high latitudes (e.g., Vasyliũnas and Song, 2005)
- Dissipation of upward propagating waves
  - e.g., gravity waves, acoustic waves, etc. (Matcheva and Strobel, 1999; Hickey et al., 2000; Barrow et al., 2012)

	Earth (TW)	Jupiter (TW)	Saturn (TW)
Solar EUV/FUV heating*	0.5	0.8	0.2
Auroral particle/Joule heating*	0.08	100	5-10

### Saturn energy crisis: upper atmospheric temperatures



### Saturn energy crisis: ion drag fridge effect



 Auroral Joule heating sufficient to heat high latitude thermosphere and equator-to-pole circulation
 Input of more magnetospheric energy only

exacerbates the ion drag fridge effect (Smith et al, 2007; Smith and Aylward, 2009)

\* Melin et al (2007) \*\* Vervack and Moses (2013)

Mueller-Wodarg et al (2012)

## Jupiter energy crisis: time dependent coupling effects



Yates et al (2014)

## Ionosphere-thermospheremagnetosphere coupling



Ion outflow, particle precipitation

## Ionosphere-thermospheremagnetosphere coupling



• Overall, at high latitudes:

the magnetosphere extracts angular momentum from the upper atmosphere through the magnetic field-aligned currents

MAGNETOSRHERE

IONOSPHERE

## Ionosphere-thermospheremagnetosphere coupling



### Ionospheric electrical conductances

$$\begin{array}{l} \begin{array}{l} \textit{Pedersen}\left(\sigma_{P}\right) \\ \textit{\& Hall}\left(\sigma_{H}\right) \\ \textit{onductivities:} \end{array} \quad \sigma_{P}\left(z\right) = \sum_{n} \sum_{i} \frac{n_{i}e}{B} \left( \frac{v_{en\perp}\omega_{e}}{v_{en\perp}^{2} + \omega_{e}^{2}} + \frac{v_{in}\omega_{i}}{v_{in}^{2} + \omega_{i}^{2}} \right) \\ \textit{onductivities:} \end{array}$$

$$\begin{array}{l} \textit{with} \quad \omega = \frac{eB}{m} \quad \sigma_{H}(z) = \sum_{n} \sum_{i} \frac{n_{i}e}{B} \left( \frac{\omega_{e}^{2}}{v_{en\perp}^{2} + \omega_{e}^{2}} - \frac{\omega_{i}^{2}}{v_{in}^{2} + \omega_{i}^{2}} \right) \end{array}$$

Pedersen ( $\Sigma_P$ ) & Hall ( $\Sigma_H$ ) conductances:

$$\Sigma_P = \int \sigma_P(z) dz$$

ionosphere

$$\Sigma_H = \int_{ionosphere} \sigma_H(z) dz$$

Indices: e (electrons), i (ions), n (neutrals) Variables:

- n, number density
- $\omega$ , gyro-frequency (= e B / m)
- $V_{in}$ , ion-neutral collision frequency
- V<sub>en1</sub>, effective electron-neutral collision frequency perpendicular to <u>B</u>
- <u>B</u>, magnetic field

Conductance: mho v (inverse of resistance, ohm backwards) Conductivity: mho/m <u>1 mho = 1 Siemens</u> (the SI unit) [e.g., Richmond 1995]

## Ionospheric electrical conductances in auroral regions



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## Question

What might be causing the difference in conductance at Jupiter and Saturn?

## Ionospheric electrical conductances in auroral regions



## Ionospheric conductances: importance of altitude and m<sub>i</sub>

- Assume electron density is constant with altitude
- Assume ionosphere is composed of entirely one ion
- ~50% difference in derived Pedersen conductance, mostly due to mass
- Pedersen layer near 1000 km at Saturn (~600 km at Jupiter)



## **Ionospheric conductances from** radio occultation observations

- Electron density profiles from Galileo, Voyager and Pioneer Background atm. and ion fractions based on model, scaled to observed N<sub>e</sub>



## Future Prospects



JUNO observations through the magnetic field lines connected to the auroral ionosphere, close/within the acceleration region (expected to be 2-3 RJ from center [e.g., *Ray et al., 2009*]):

- Electric currents along magnetic field lines
- Plasma/radio waves revealing processing responsible for particle acceleration
- Energetic particles precipitating into atmosphere creating aurora
- Ultraviolet/IR auroral emissions regarding the morphology of the aurora
# Summary, Part II

### • Analysis of auroral emissions:

- Valuable probe of ionosphere (IR), auroral particle source, ITM coupling, and magnetic field line configuration
- Jupiter: main oval driven by breakdown in co-rotation (Io)
- **Saturn:** main oval mapped in the outer magnetosphere varying with solar wind conditions (Enceladus)
- Uranus: solar wind dominated

### Ionosphere-Thermosphere-Magnetosphere (ITM) coupling

#### Ionospheric electrical conductances:

- Uncertainties in conductivities driven by limitation in electron (and ion) density estimates
- Differences in B field strength between Jupiter and Saturn yield significant conductance differences. Larger energy fluxes at Jupiter don't compensate for the stronger B field. Implications for ITM coupling
- Simulations:
  - Critical to estimate the upper atmosphere response self-consistently
  - Play a key role in efforts to understand underlying physics
- Energy crisis remains unsolved:
  - Investigate shorter timescales, E field variability unconstrained, role of waves, mid-latitude e?
- Lessons learned from Saturn useful for upcoming exploration of Jupiter (Juno/JUICE) and exoplanets (EChO, JWST)

# **Comparative cross body approach**

✓ **Diversity** among solar system bodies in terms of:

physical, magnetic field, atmospheric, energy forcing settings
makes comparative aeronomy an exciting and enriching field of research

astroart.org/STFC

✓ Comparative aeronomy challenges our understanding of atmospheric processes, coupling with neighboring regions, and planet evolution, as well as open new doors for extrapolating beyond our Solar System.



## Coupling with the solar wind: interplanetary shock to Earth, Jupiter, Saturn

First synoptic view of a CME-driven interplanetary shock hitting the Earth, Jupiter and Saturn, triggering major – but different – auroral responses at all three planets → Highlights the difference in setting and allows us to learn more about sw-MI coupling by comparing different responses

Distance (AU)





South aurora (HST)

Relaxed

Active

[Prangé et al., Nature, 2004]

## Coupling with the solar wind: interplanetary shock to Earth, Jupiter, Uranus



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